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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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 P.O. Box 1450
 Alexandria, Virginia 22313-1450
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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/827,102 | 04/18/2004 | Graham Cruicksanks | JAR-001 |

021323
 TESTA, HURWITZ & THIBEAULT, LLP
 HIGH STREET TOWER
 125 HIGH STREET
 BOSTON, MA 02110



CONFIRMATION NO. 1455

FORMALITIES LETTER



OC000000013091784

Date Mailed: 06/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450

10/22/2004 BABRAHA1 00000006 10827102

01 FC:1001 790.00 OP
 02 FC:1051 130.00 OP
 Alexandria VA 22313-1450

Copied from 10872102 on 11/01/2004

A copy of this notice MUST be returned with the reply.

UG
Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT
Attorney Docket No.: JAR-001
(10110/3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Brighthouse *et al.* CONFIRMATION NO.: 1455
SERIAL NO.: 10/827,102 GROUP NO.: 2171
FILING DATE: April 18, 2004 EXAMINER: Not yet assigned
TITLE: SYSTEM AND METHOD FOR TRACKING DOCUMENTS

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 19th day of October, 2004.


Lisa Marie Solis

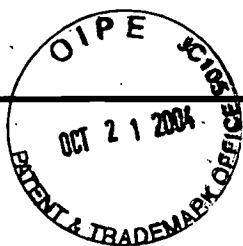
Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 page);
2. Fee Transmittal (1 page);
3. Check in the amount of \$1,350.00;
4. Petition for Extension of Time Under 37 CFR 1.136(a);
5. Supplemental Application Data Sheet (2 pages);
6. Response to Notice to File Missing Parts of Nonprovisional Patent Application (1 page);
7. Declaration and Power of Attorney for Utility or Design Patent Application (3 pages);
8. Copy of Notice to File Missing Parts of Nonprovisional Patent Application (2 pages); and
9. Return receipt postcard.

3130816-1



TRANSMITTAL FORM

| | |
|---------------------------|------------------|
| Application Serial Number | 10/827,102 |
| Filing Date | April 18, 2004 |
| First Named Inventor | Brighthouse |
| Group Art Unit | 2171 |
| Examiner Name | Not yet assigned |
| Attorney Docket No. | JAR-001 |
| Patent No. | Not applicable |
| Issue Date | Not applicable |

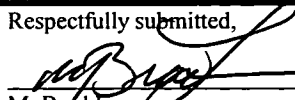
ENCLOSURES (check all that apply)

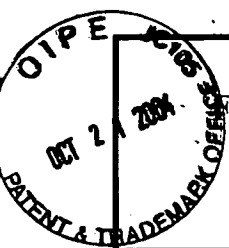
| | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] <input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <input checked="" type="checkbox"/> Response to Notice to File Missing Parts of Nonprovisional Patent Application <input checked="" type="checkbox"/> Supplemental Application Data Sheet <input checked="" type="checkbox"/> Petition for Extension of Time Under 37 CFR 1.136(a) |
|--|---|--|

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

M. Brad Lawrence
Attorney for Applicants
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Date: October 19, 2004
Reg. No. 47,210
Tel. No.: (617) 310-8009
Fax No.: (617) 248-7100



FEE TRANSMITTAL
FY 2005

Complete if Known

| | |
|---------------------------|------------------|
| Application Serial Number | 10/827,102 |
| Filing Date | April 18, 2004 |
| First Named Inventor | Brighthouse |
| Group Art Unit | 2171 |
| Examiner Name | Not yet assigned |
| Attorney Docket No. | JAR-001 |

| METHOD OF PAYMENT | | FEE CALCULATION (continued) | | | |
|---|---------------------------------|--|------------------------------|--|----------------------|
| 1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other | | 3. ADDITIONAL FEES | | | |
| 2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit. | | Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description | Fee Paid |
| 3. <input type="checkbox"/> Applicant claims small entity status. | | 130 | 65 | Surcharge - late filing fee or oath | 130.00 |
| FEE CALCULATION | | 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1. FILING FEE | | 130 | 130 | Non-English specification | |
| Large Entity | | 2,520 | 2,520 | Request for ex parte reexamination | |
| Fee (\$) | Fee Description | 110 | 55 | Extension for reply within first month | |
| 790 | Utility filing fee | 430 | 215 | Extension for reply within second month | 430.00 |
| 350 | Design filing fee | 980 | 490 | Extension for reply within third month | |
| 160 | Provisional filing fee | 1530 | 765 | Extension for reply within fourth month | |
| | | 2080 | 1040 | Extension for reply within fifth month | |
| | | 340 | 170 | Notice of Appeal | |
| | | 340 | 170 | Filing a brief in support of an appeal | |
| | | 340 | 170 | Request for oral hearing | |
| | | 130 | 130 | Petitions to the Commissioner | |
| | | 180 | 180 | Submission of Information Disclosure Statement | |
| | | 790 | 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| | | 790 | 395 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| | | 100 | 100 | Certificate of Correction for applicant's error | |
| | | 110 | 55 | Submission of Terminal Disclaimer | |
| | | Other fee (Specify) _____ | | | |
| | | Other fee (Specify) _____ | | | |
| Total Claims 15 - 20 = 0 x \$ 18.00 = 0.00 | | SUBTOTAL (3) | | | (\$ 560.00) |
| Independent Claims 3 - 3 = 0 x \$ 88.00 = 0.00 | | SUBTOTAL (1) | | | 790.00 |
| <input type="checkbox"/> Multiple Dependent Claim(s), if any \$300.00 = | | SUBTOTAL (2) | | | 0.00 |
| TOTAL: 790.00 | | SUBTOTAL (3) | | | 560.00 |
| SMALL ENTITY DISCOUNT: | | TOTAL | | | (\$ 1,350.00) |
| SUBTOTAL (1) (\$ 790.00) | | | | | |
| 2. AMENDMENT CLAIM FEES | | | | | |
| Claims Remaining After Amend. | Highest No. Previously Paid For | Present Extra | Rate | Fee Paid | |
| Total - | = | | x \$ 18.00 = | | |
| Indep. - | = | | x \$ 88.00 = | | |
| <input type="checkbox"/> First Presentation of Multiple Dep. Claim + \$300.00 = | | | | | |
| TOTAL: (\$) | | | | | |
| SMALL ENTITY DISCOUNT: (\$) | | | | | |
| SUBTOTAL (2) (\$) | | | | | |
| CORRESPONDENCE ADDRESS | | SIGNATURE BLOCK | | | |
| Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100 | | Respectfully submitted, M. Brad Lawrence Attorney for the Applicants Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110 Date: October 19, 2004 Reg. No.: 47,210 Tel. No.: (617) 310-8009 Fax No.: (617) 248-7100 | | | |

3130654-1



PATENT
Attorney Docket No.: JAR-001
(10110/3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Brighthouse *et al.* CONFIRMATION NO.: 1455
SERIAL NO.: 10/827,102 GROUP NO.: 2171
FILING DATE: April 18, 2004 EXAMINER: Not yet assigned
TITLE: SYSTEM AND METHOD FOR TRACKING DOCUMENTS

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL PATENT APPLICATION**

Sir:

In response to the Notice to File Missing Parts mailed from the Patent Office on June 28, 2004, in the above-referenced nonprovisional patent application, enclosed please find the following:


1. Transmittal Form;
2. Fee Transmittal;
3. Check in the amount of \$1,350.00 to cover the filing fee and late filing fee;
4. Petition for Extension of Time Under 37 CFR 1.136(a);
5. Declaration and Power of Attorney for Utility or Design Patent Application;
6. Copy of Notice to File Missing Parts of Nonprovisional Patent Application;
7. Supplemental Application Data Sheet;
8. Certificate of First Class Mail; and
9. Return Receipt Postcard.

Applicants believe that the \$1,350.00 check enclosed herein covers all required fees; however, please charge Deposit Account No. 20-0531 for any additional fees that may be due in this matter and please apply any overpayment credit to Deposit Account No. 20-0531.

Respectfully submitted,

Date: October 19, 2004
Reg. No.: 47,210

Tel. No.: (617) 310-8009
Fax No.: (617) 248-7100


M. Brad Lawrence
Attorney for Applicants
Testa, Hurwitz, & Thibeault
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Boston, Massachusetts 02110